

41
TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
02410273AA

In Re Application Of: Kittaka et al.

Serial No.
10/073,958

Filing Date
2-14-02

Examiner
Choi, William C

Group Art Unit
2873

Title: **OPTICAL COUPLING SYSTEM AND OPTICAL DEVICE USING THE SAME**

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

- 1 IDS Submission
- 1 postcard + copy
- 1 PTO form 1449
- 4 associated art documents
- 1 transmittal letter

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
 - ☐ Charge the amount of _____
 - ☒ Credit any overpayment.
 - ☐ Charge any additional fee required.


Signature

Dated: 3-18-04

Michael E. Whitham
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Customer # 30743

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Kittaka et al.

Serial No. 10/073,958

Group Art Unit: 2873

Filed: 2-14-02

Examiner: Choi, William C

For: **OPTICAL COUPLING SYSTEM AND OPTICAL DEVICE USING THE SAME**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

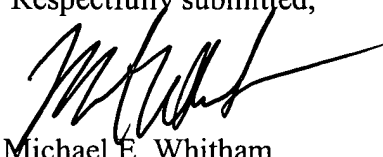
Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, cited in a European Search Report and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this statement

It is respectfully requested that the listed references be considered by the Examiner and be formally made of record in this application. Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's deposit account 50-2041.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Michael E. Whitham', written over a horizontal line.

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

02410273AA

Application Number

10/073,958

Applicant(s)

Kittaka et al.

Filing Date

2-14-02

Group Art Unit

2873

DOMESTIC PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|---------|-------------|-------|----------|-------------------------------|
| | 1 | 6,168,319 | 1-2-01 | Francis | | | 8-5-99 |
| | 2 | 5,799,121 | 8-25-98 | Duck et al. | | | 2-14-97 |
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|--------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | 1 | 9-61662 | 3-7-97 | Japan | | abstract | ✓ | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|---|---|
| | 1 | European Search Report dated February 5, 2004 |
| | | |
| | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to applicant.